

# Math 451: Introduction to General Topology

## Lecture 13

- Def. Let  $X$  be a topological space. Call a collection  $\mathcal{B}$  of open subsets of  $X$  a
- o **prebasis** (also **subbasis**, but I don't like this since "sub" suggests it being a subset of a basis) if  $\mathcal{B}$  generates the topology of  $X$ .
  - o **basis** if every nonempty open set in  $X$  is an (arbitrary) union of sets from  $\mathcal{B}$ .

Examples. (a) In a metric space  $X$ , open balls form a basis for the topology induced by the metric since by definition a set is open in  $X$  if it is a union of open balls.

(b) In a metric space  $(X, d)$ , the collection

$$\mathcal{B} := \{ B_{\frac{1}{n}}(x) : x \in X \text{ and } n \in \mathbb{N}^+ \}$$

is a basis for  $X$ .

Proof. Fix any nonempty open  $U \subseteq X$ . For each  $x \in U$  there is an open ball  $B_r(x) \subseteq U$  for some  $r > 0$ . Let  $n_x \in \mathbb{N}^+$  be large enough so that  $\frac{1}{n_x} < r$  hence  $B_{\frac{1}{n_x}}(x) \subseteq U$ . Then  $U = \bigcup_{x \in U} B_{\frac{1}{n_x}}(x)$ .  $\square$

(c) In  $\mathbb{R}$ , both open intervals form a basis by example (a) because they are exactly the open balls. The collection

$$\mathcal{H} := \{ (a, \infty), (-\infty, a) : a \in \mathbb{R} \}$$

is not a basis, well, because  $(0, 1)$  is not a union of sets in  $\mathcal{H}$ , in fact, no set in  $\mathcal{H}$  is contained in  $(0, 1)$ . However,  $\mathcal{H}$  is a prebasis since each open interval  $(a, b)$  is an intersection of two sets in  $\mathcal{H}$ , namely,  $(a, b) = (-\infty, b) \cap (a, \infty)$ .

(d) In  $\Sigma^{\mathbb{N}}$  with its usual metric,  $\Sigma \neq \emptyset$ , the cylinders form a basis because they are exactly the balls in  $\Sigma^{\mathbb{N}}$ . We now define a prebasis which is not a basis. For each  $i \in \mathbb{N}$  and  $\sigma \in \Sigma$ , put  $[i \mapsto \sigma] := \{ x \in \Sigma^{\mathbb{N}} : x(i) = \sigma \}$ .

Claim. The collection  $\mathcal{E} := \{ [i \mapsto \sigma] : i \in \mathbb{N} \text{ and } \sigma \in \Sigma \}$  is a prebasis.

Proof. Since cylinders form a basis, it is enough to show that  $\mathcal{E}$  generates all cylinders.

In fact, every cylinder  $[w]$ , where  $w \in \Sigma^{<\mathbb{N}}$ , is a finite intersection of sets in  $\mathcal{E}$ :  $[w] = \bigcap_{i < \ell(w)} [i \mapsto w(i)]$ .  $\square$

Recall that cylinders are clopen, so we have a basis of clopen sets. A topological space admitting a basis of clopen sets is called **0-dimensional**. Thus,  $\Sigma^{\mathbb{N}}$  is 0-dimensional.

(e) In  $\mathbb{R}^d$ , the collection  $\mathcal{B}$  of all open boxes is basis.

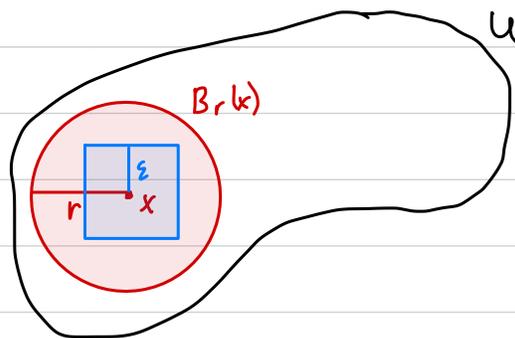
Recall that an open box is a set of the form  $I_1 \times I_2 \times \dots \times I_d$ , where each  $I_k \subseteq \mathbb{R}$  is an open interval.

Proof. Fix a nonempty open  $U \subseteq \mathbb{R}^d$ . Then each  $x \in U$  has an open ball  $B_r(x) \subseteq U$  for some  $r > 0$ . We choose  $\varepsilon > 0$  small enough so that the box  $R := \prod_{i=1}^d (x_i - \varepsilon, x_i + \varepsilon) \subseteq B_r(x)$ .

Such an  $\varepsilon > 0$  exists because for each  $y \in R$ ,

$$d_2(y, x) = \sqrt{\sum_{i=1}^d |y_i - x_i|^2} < \sqrt{\sum_{i=1}^d \varepsilon^2} = \sqrt{d} \cdot \varepsilon,$$

so it's enough to take  $\varepsilon < r/\sqrt{d}$ .  $\square$



Convention. An empty intersection of subsets of a set  $X$  is defined to be  $X$ , i.e. if  $I$  is an index set and  $X_i \subseteq X$  for each  $i$ , then if  $I = \emptyset$ , then  $\bigcap_{i \in I} X_i := X$ .

Cor. Let  $\mathcal{E}$  be a prebasis for a topological space  $X$ .

(a) The collection  $\mathcal{E}_{\cap}$  of all finite intersections of sets in  $\mathcal{E}$  is a basis.

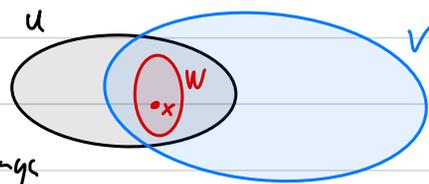
Note that  $\mathcal{E}_{\cap} \ni X$  since  $X$  is the empty intersection of sets in  $\mathcal{E}$ .

(b) If  $\mathcal{E}$  is closed under finite intersections (and  $X \in \mathcal{E}$ ) then  $\mathcal{E}$  is a basis.

Proof. We already proved (a) last time, and (b) follows from (a).  $\square$

Characterization of basis. Let  $X$  be a top. space. A prebasis  $\mathcal{E}$  for  $X$  is a basis  $\Leftrightarrow$  for every pair  $U, V \in \mathcal{E}$ , every  $x \in U \cap V$  admits  $W \in \mathcal{E}$  such that  $x \in W \subseteq U \cap V$ .

Proof.  $\Rightarrow$  If  $\mathcal{E}$  is a basis, then every nonempty open set is a union of sets in  $\mathcal{E}$ . In particular, for  $U, V \in \mathcal{E}$  s.t.  $U \cap V \neq \emptyset$ ,  $U \cap V$  is a union of sets in  $\mathcal{E}$ , so each  $x \in U \cap V$  belongs to one of the sets  $W$  in that union.



$\Leftarrow$ . Suppose the right side. To show that  $\mathcal{E}$  is basis, it suffices to show that any finite intersection  $U := \bigcap_{i=1}^n U_i$  of sets  $U_i \in \mathcal{E}$  is union of sets in  $\mathcal{E}$  because the collection  $\mathcal{E} \cup \{U\}$  is basis. For this it's enough to show that every  $x \in U$  has a set  $W_x \in \mathcal{E}$  with  $x \in W_x \subseteq U$  because this would make  $\bigcap_{i=1}^n U_i = \bigcup W_x$ . Fix  $x \in U$ . We prove by induction on  $n$ . Suppose the statement is true  $\forall x \in U$  for  $n-1$  and we prove for  $n$ . Let  $U' := \bigcap_{i=1}^{n-1} U_i$ . Then  $x \in U'$  and we already know by induction that  $\exists V \in \mathcal{E}$  such that  $x \in V \subseteq U'$ . Since  $x \in U$ ,  $x \in U_n$ , so  $x \in V \cap U_n$  and both  $V, U_n \in \mathcal{E}$ . Thus, by the assumption  $\exists W_x \in \mathcal{E}$  with  $x \in W_x \subseteq V \cap U_n \subseteq U' \cap U_n = \bigcap_{i=1}^n U_i$ .  $\square$

We now consider an interesting example of a topology on  $\mathbb{Z}$  which is Hausdorff and 0-dimensional, using which we will give a funny proof that there are  $\infty$ -many primes. The definition will only use the group structure of  $(\mathbb{Z}, +)$  and can be defined more generally for arbitrary groups.

The profinite topology on  $\mathbb{Z}$ . Let  $\mathcal{E}$  be the collection of all arithmetic progressions in  $\mathbb{Z}$ , i.e. sets of the form

$$a + b\mathbb{Z} := \{a + bz : z \in \mathbb{Z}\}$$

where  $a, b \in \mathbb{Z}$ . Note that  $b\mathbb{Z}$  is a subgroup of  $\mathbb{Z}$  and  $a + b\mathbb{Z}$  is a coset of that subgroup. All subgroups of  $\mathbb{Z}$  are of the form  $b\mathbb{Z}$  for some  $b \in \mathbb{Z}$ , so  $\mathcal{E}$  is simply the collection of all cosets of all subgroups of  $\mathbb{Z}$ .

Let  $\tau_p$  be the topology generated by  $\mathcal{E}$ . This topology is called the profinite topology on  $\mathbb{Z}$ , and can be defined for any group as the topology generated by

all cosets of all subgroups, but we will only discuss it for  $\mathbb{Z}$  here.

Claim.  $\Sigma$  is closed under finite intersections, and hence is a basis for  $\mathcal{T}_p$ .

Proof. HW.

Claim. Each set  $a+b\mathbb{Z} \in \Sigma$  is clopen, so  $\mathcal{T}_p$  is 0-dimensional.

Proof. Indeed,  $(a+b\mathbb{Z})^c = \bigcup_{\substack{0 < c < |b| \\ c \neq a}} (c+b\mathbb{Z})$ , hence  $(a+b\mathbb{Z})^c$  is open so  $a+b\mathbb{Z}$  is closed, hence clopen. □

Claim.  $\mathcal{T}_p$  is invariant under translation by any  $a \in \mathbb{Z}$  as well as inversion, i.e. if  $U \in \mathcal{T}_p$  then  $a+U$  and  $-U$  are also in  $\mathcal{T}_p$ .

Proof. Since  $\Sigma$  is a basis, it is enough to check for sets in  $\Sigma$ , so fix  $c+d\mathbb{Z} \in \Sigma$ . Then  $a+(c+d\mathbb{Z}) = (a+c)+d\mathbb{Z} \in \Sigma$  and  $b \cdot (c+d\mathbb{Z}) = (bc)+(bd)\mathbb{Z} \in \Sigma$ . □

Claim.  $\mathcal{T}_p$  is Hausdorff.

Proof. For any distinct  $a, b \in \mathbb{Z}$ , may assume  $a < b$  WLOG. By translation invariance, we may assume WLOG that  $a=0$  (replace  $a$  and  $b$  with  $a-a$  and  $b-a$ ). Then  $(b+1)\mathbb{Z} \ni a=0$  and  $b+(b+1)\mathbb{Z} \ni b$  and  $(b+1)\mathbb{Z} \cap (b+(b+1)\mathbb{Z}) = \emptyset$  because they are distinct cosets of the same subgroup (check yourself). □